

THE PANDEMIC THREAT

RISKS & IMPACTS OF COVID-19

Cyber Intelligence • Financial Intelligence • Threat Intelligence • Incident Response

Series Begins on Saturday, June 6, 2020
10 a.m. to 2 p.m. Central Time (USA)

OU Global Risks & Threats Series

Registration Required price.ou.edu/GRTSregistration

Limited Space Available | U.S. \$30 | June 2020 Online Event Program

**HOSTS: MICHAEL F. PRICE COLLEGE OF BUSINESS
OU CENTER FOR INTELLIGENCE AND NATIONAL SECURITY**

**WHAT: THE PANDEMIC THREAT
RISKS & IMPACTS OF COVID-19**

**WHY: Educating with Real World Solutions to Build Foundational Capacities to
Solve Challenging Intelligence & National Security Problems**

**WHEN: June 6, 2020
10 a.m. to 2 p.m. Central Time (USA)**

WHERE: Online price.ou.edu/GRTS

OU Global Risks & Threats Series
REAL-WORLD SOLUTIONS



World-Renowned Experts, Visionaries, Thought Leaders



"When there are global threats and competing security interests anywhere, all are at risk."

We invite you to give us a closer look.

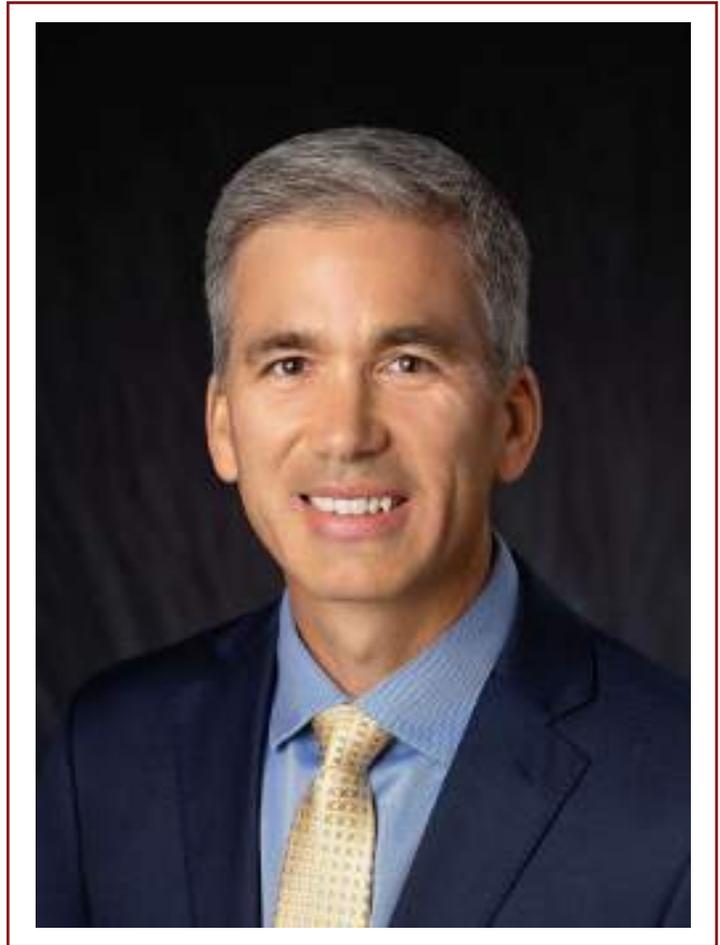
The COVID-19 pandemic knows no borders. Defeating the coronavirus and global threats requires a unified front and global commitment. We cannot win this battle alone. Education, innovation, and cooperation are needed to prepare us for the future.

By bringing world experts together, we can better understand the threats that have political, economic, and social implications on all of us. We can advance real-world solutions and work together on a multilateral basis.

In the face of global challenges, we have a collective responsibility. The University of Oklahoma and Michael F. Price College of Business are fully committed to finding global solutions.

One humanity. One world.

Wayne Thomas, Interim Dean
Michael F. Price College of Business

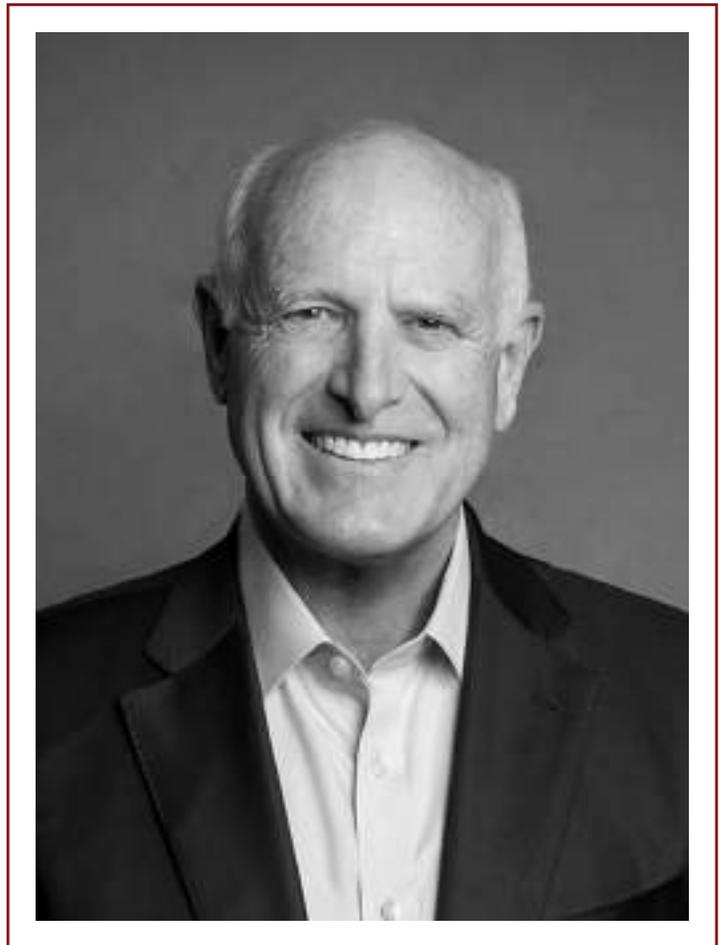


Human coronavirus (HCoV) is one of the most common causes of respiratory tract infection throughout the world. Although the December 2019 outbreak caused by a novel coronavirus strain initially was localized to Wuhan in China's Hubei province, the COVID-19 pandemic of 2020 morphed quickly into a crisis that is truly global in scope. Within three months, the number of infections had increased rapidly emulating exponential growth.

The pandemic is still evolving so its final impact will remain unknown for months, if not years. As a result, effectively truncating COVID-19's spread, and virulence is critical for resolving the ongoing pandemic. This underscores the reality that understanding the SARS-CoV-2 coronavirus and COVID-19 are essential pre-conditions for developing evidence-based strategies to cope with this truly global threat to public health, the economy, social cohesion, and governance.

This event provides a unique opportunity to contribute to that understanding by leveraging the insights of a diverse, talented group of international experts. Additional critical global threats will be addressed in future events.

James L. Regens, Ph.D.
Regents Professor and Director
OU Center for Intelligence and National Security



Today, the COVID-19 global pandemic has provided humanity and business with an understanding of our dependency, interconnectedness and need for collective responsibility—something unimaginable six months ago. Every aspect of business and an individual's personal life has been challenged from liberty, access to basic needs, food supply, financial impact on retirement savings, to the destruction of precious working capital. Furthermore, an unprecedented convergence of conflicting regulations, anti-corruption laws and foreign privacy exposes business executives to substantial fines for violations and potentially exposes those executives to criminal liability for non-compliance. Legal and compliance teams globally struggle to prioritize risks in an ever-changing security landscape. The challenge today is no longer 'to be ethical when no one is watching,' but to stay ethical when the rules and ethos of ethics vary in foreign jurisdictions. The stakes are high, particularly when physical safety is a concern. When there are global threats and competing security interests anywhere, all are at risk.

In the face of the COVID-19 crisis, the global paradigm has shifted. Governments and businesses are attempting to set the stage for a 'new normal.' Traditional crisis responses or plans of action have proven to be somewhat ineffective under the novel challenges posed by a global workforce shifting to remote teleworking. The challenge and number of threats to data and privacy have increased radically over the last few months. Employee monitoring has definitively been altered, reaching new levels of oversight. To survive and remain successful, it will be imperative that the private sector navigate these challenges. The critical question now is how does one manage and survive in this brave new world?

Through the Michael F. Price College of Business and the OU Center of Intelligence and National Security, the OU Global Risks & Threats Series will leverage a wide spectrum of world experts, visionaries, strategic decision-makers, and industry leaders from various sectors to provide guidance, direction, and insights to help better understand the current crisis and global and regional threats. There is a new role for the private sector in partnering with governments and international organizations to meet the challenges of this new environment. The decisions we make today will have strong repercussions on a wide-ranging scale. While the COVID-19 threat is only one of the many threats we must face, it is imperative that we all face adversity together. We are one world and one humanity. The Michael F. Price College of Business and the OU Center of Intelligence and National Security are taking steps in the right direction. I invite you to take a closer look.

Adriana Sanford, J.D., Dual LL.M. in Tax Law and International & Comparative Laws
Founding Director, OU Global Risks & Threats Series, Michael F. Price College of Business
Senior Fellow, OU Center for Intelligence and National Security
Assistant Director, Executive Education, Gene Rainbolt School of Business



Saturday, June 6, 2020



Session 1

Time zone is
Central Time
(North America)

10:00 - 10:20 a.m.
OPENING KEYNOTE

The Rising Human Costs Presented by the 'Great Lockdown' -- The Privacy Factor and the Messy Digital Footprint
Dr. Adriana Sanford, World-Renowned Global Threat Expert & International TV Commentator; Former Fortune 500 Senior Counsel

10:20 - 10:40 a.m.

Preventing a Food Security Crisis: The Impact of COVID-19 on Agriculture and Supply Chains
Scott MacDonald, Global Economist; Former OCC International Economic Adviser & Top Sovereign Analyst

10:40 – 11:00 a.m.

Changes in the Globalization Paradigm After COVID-19 Pandemic
SPECIAL GUEST: Honorable Eduardo Aninat, Visionary Leader; Former Minister of Finance of Chile; Former Chairman of the Board of Governors and Member of the Development Committee of the World Bank and the IMF

11:00 - 11:30 a.m.
CLOSING KEYNOTE

Security Risks Created by the Imperative to Work-From-Home
Lou Bladel, Ernst & Young Forensic Expert; Former FBI Counterintelligence and Counterespionage; Former U.S. Federal Law Enforcement Leader

LUNCH BREAK 11:30 a.m. to NOON



Session 2

Time zone is
Central Time
(North America)

- 12:00 - 12:20 p.m.** **OPENING KEYNOTE** Role of Effective Boards: Digital and Performance Transformation in the New Normal
Cheemin Bo-Linn, Board of Director & Global Risk & Recovery Trailblazer
- 12:20 - 12:40 p.m.** Restarting the Economy: Cyber Risk and Insurance in the New Normal of Data Collection & Tracking”
Jeff Schermerhorn, Willis Towers Watson Complex Cyber Risk Expert
- 12:40 - 1:00 p.m.** Trade-Based Money Laundering (TBML) in the Era of the Coronavirus Pandemic
Ross S. Delston, Global AML Pundit; Former FDIC Regulator
- 1:00 - 1:20 p.m.** International Enforcement Networks to Combat the New Fraud Typologies
Bruce Zagaris, World-Renowned Anti-Corruption & Anti-Money Laundering Expert
- 1:20 - 1:40 p.m.** **CLOSING KEYNOTE** After COVID: Cyber and the Coming Human Capital Revolution
Tom Finan, Willis Towers Watson Cyber Growth Strategist; Former FBI Assistant General Counsel
- 1:40 - 2:00 p.m.** **CLOSING REMARKS**



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2020 JUNE SPECIAL GUEST

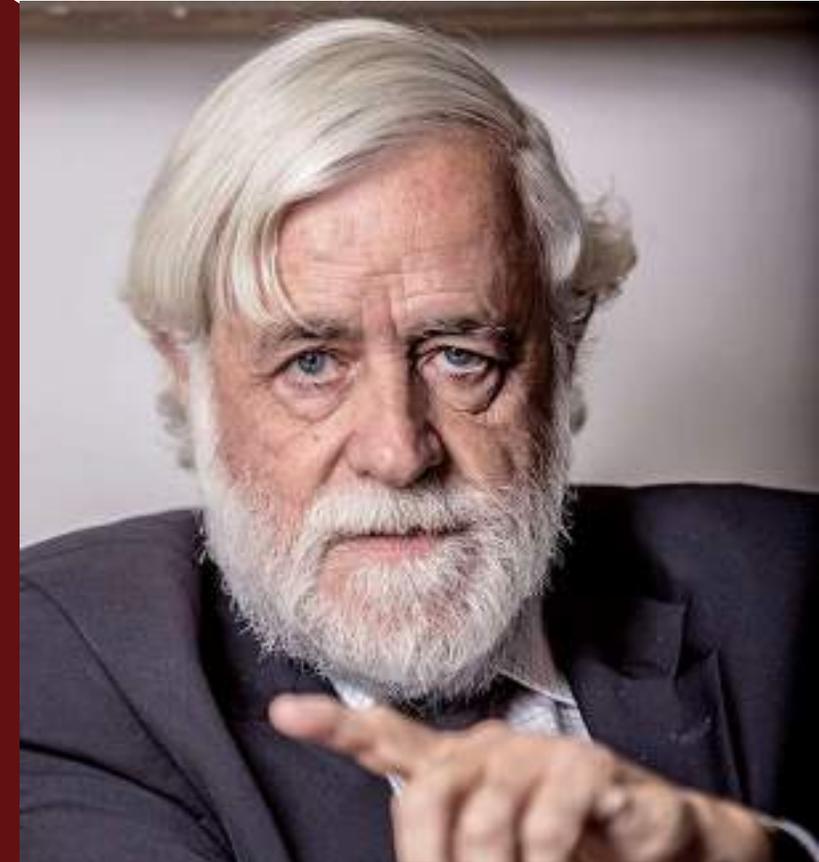
Honorable Eduardo Aninat Visionary Leader

Honorable **EDUARDO ANINAT** served as Finance Minister of Chile from 1994 to 1999. He has also held a variety of leadership roles with the International Monetary Fund (IMF) and the World Bank.

Most notably, he served as the Chairman of the Board of Governors in 1995 and 1996 and as a member of the Development Committee of the World Bank and the IMF, representing Chile, Argentina, Bolivia, Peru, Uruguay and Paraguay. In that capacity, Hon. Aninat was involved in the discussions on initiatives ranging from international policies for heavily-indebted poor countries to the proposals for reform of international financial architecture. More recently, Hon. Aninat served as Ambassador of Chile in Mexico, Director of the Center for Applied Globalization at Finis Terrae University, and as an international consultant to the Goldman Sachs Group.

He also previously served in a range of economic positions in the Chilean Government; these included Chief Senior Negotiator for the bilateral Canada-Chile trade agreement, and Chief Debt Negotiator and senior advisor of the Central Bank of Chile and the Ministry of Finance. For 12+ years, he was a principal in Aninat, Méndez y Asociados, which was one of the largest consulting firms in Latin America. He advised a number of governments on matters ranging from tax policy to debt restructuring. Hon. Aninat was also the Latin American Coordinator for Harvard University's International Tax Program and has been a member of the Boards of Directors of several private companies and institutions in Chile and abroad.

As President of the Social Equity Forum (SEF), which is under the sponsorship of the Inter-American Development Bank, he focused on bringing social equity concerns to the forefront of macroeconomic and sectoral policy debates to advance successful public policies in Latin America and throughout the Caribbean region. He has also served on the Board of Directors of ACCION International, which is one of the world's leading microfinance organizations and on the Board of Directors of the Institute of the Americas, which is dedicated to promoting economic growth through public-private partnerships in trade, infrastructure and investment.



Eduardo Aninat,
“The Changes in the
Globalization Paradigm
After the COVID-19
Pandemic”

Globalization has been a key character of the World Economy since 1945. This phenomenon has grown alongside the expansion of modern capitalism in the last half-century. There are, however, two features that are strongly emerging and have become more evident with the COVID-19 pandemic, factors that are signaling the possibility of a gradual stop in this global phenomenon. We will examine the reasons why globalization shall experience a change in scope but will most likely remain in the international arena.

JUNE 2020 KEYNOTES

Cyber Intelligence • Financial Intelligence • Threat Intelligence • Incident Response

Dr. Adriana Sanford
World-Renowned Global Threat
Expert, International TV Commentator
Former Fortune 500 Senior Counsel



Session 1
Opening Keynote

"The human race has been humbled, the world has been silenced, and our eyes have been opened."

Lou Bladel
Ernst & Young Forensic Expert
Former FBI Counterintelligence and Counterespionage;
Former U.S. Federal Law Enforcement Leader



Session 1
Closing Keynote

"The average home has a more diverse and challenging communication system than many small businesses, just imagine the potential security concerns."

● **ADRIANA SANFORD, J.D., dual LL.M. in Taxation/International and Comparative Law**, is the founding director of the OU Global Risks & Threats Series. She is also a Senior Fellow at OU's Center of Intelligence and National Security, and Assistant Director of Executive Education Programs at the Gene Rainbolt School of Business. She has extensive experience advising, training, and educating Executive Management. An expert practitioner and leader in cybersecurity, global privacy laws, and global threats, she has decades of experience in compliance, investigations, security, as well as training and development. Dr. Sanford is also an international television commentator who appears regularly as a CNN Español analyst to over 90 million viewers throughout the United States and Latin America. A global keynote with the American Program Bureau, she provides the keynotes to some of the world's top conferences, including to hundreds of General Counsel and senior legal leaders from *Fortune* 1000 companies at the Corporate Counsel's 19th Annual SuperConference. Dr. Sanford is Private Equity International's keynote to hundreds of Chief Compliance Officers and compliance professionals for the 2020 Private Fund Compliance Forum.

A visionary thinker with rare intellect, multiple law degrees, and fluency in four languages, she has lectured at more than 140 industry conferences. Her research, scholarly work, and publications focus on laws at the international level, where multijurisdictional laws conflict and possible outcomes for corporate non-compliance includes substantial penalties, criminal liability, and physical danger for executives in foreign territories. She is a former member of the Advisory Committee of the World Economic Forum's Partnering Against Corruption Initiative to build foundations of trust and integrity; former delegate of the Asian-Pacific Economic Cooperation (APEC) CEO Summit in Chile; former member of the board of directors of Amnesty International USA; is a recipient of the Cybersecurity Woman Law and Privacy Professional of the Year Award that celebrates "female cybersecurity leaders changing the world;" and was featured in *Women in Security Changing the Face of Technology and Innovation*, which is part of the *Women in Science and Engineering* book series. Dr. Sanford also taught ethical leadership, cybersecurity/global privacy law, business ethics, and business law to more than 7,200 graduate and undergraduate students through several top-tier business schools in the United States and abroad and was the co-author of two books on global threats that the Institute for Supply Management (ISM) adopted to commemorate their centennial anniversary. Prior to teaching, Dr. Sanford served as a senior counsel for a *Fortune* 50 company, assistant general counsel for a publicly traded financial institution, and for two decades represented several multinationals on issues related to global threats and governance. She holds a dual LL.M. in Taxation and International/ Comparative Laws from Georgetown University Law Center and a J.D. from Notre Dame Law School, where she participated in the year-long study-abroad Concannon Programme of International Law in London, England. She also holds a B.A. in Political Science from Arizona State University, and graduate studies in global management from Thunderbird School of Global Management. Previously, she attended Villa Maria Academy in Santiago, Chile. She is frequently described as "an audience grabber" and as having "the unique ability to speak to captains of industry, college students, and academicians all in the same audience."

● **LOU BLADEL** is the Managing Director of Assurance services at Ernst & Young LLP and focuses on the Forensic & Integrity Service. With 26+ years in federal law enforcement, he brings a diverse skill set that he developed through his time serving the United States Marshals Service, Naval Criminal Investigative Service (NCIS) and the Federal Bureau of Investigation (FBI). Currently, he also consults with U.S. federal law enforcement agency directors, government regulators and key executives of Fortune 100 companies, Fortune 500 C-suite officials and boards of directors from across industry sectors on matters of economic espionage and insider threats. Most of his law enforcement career involved leading and investigating counterintelligence matters in the New York and Washington, DC, metropolitan areas. Among his numerous leadership positions within the FBI, Mr. Bladel was special agent-in-charge of the New York office of the FBI's Counterintelligence Division, where he led the espionage/insider threat arrest and successful plea negotiations of an FBI employee. As chief of the FBI's Counterespionage Section, he led the espionage investigation of Edward Snowden. In 2013, he successfully testified before the U.S. Sentencing Commission to enhance the criminal penalties for theft of propriety information, and managed the DuPont economic espionage investigation, which resulted in the FBI's first-ever jury conviction for economic espionage. The case won the 2014 FBI Director's Award for Counterintelligence Excellence. Mr. Bladel also won the National Counterintelligence Executive Award for Community Excellence in leadership for his role in the FBI operation that led to the arrest and deportation of three Russian Intelligence Service illegals.

Adriana Sanford,
“The Rising Human Costs
Presented by the ‘Great
Lockdown’ -- The Privacy
Factor and the Messy Digital
Footprint”

The ‘Great Lockdown’ created a global workforce disruption. Many people never worked from home prior to the COVID-19 pandemic and businesses lacked the appropriate policies, necessary tools, and IT infrastructure to support a properly working remote environment. While remote workers struggled to access work files using unprotected devices, senior management and boards of directors scrambled to develop remote working strategies to prevent company operations from being disrupted.

Forced to experiment with the work-from-home capabilities, deadlines were missed, delivery times were longer than expected, web conferences monitored and tracked participants, and businesses became more prone to cyberattacks than ever before. As these digital footprints were created overnight, performance standards and work ethics came under scrutiny, and a ‘survival of the fittest’ mentality emerged as people became more vulnerable and susceptible to disruption and mayhem. All of this being complicated by the need to find a balance between work requirements and the stresses of living at home and in forced isolation from co-workers, friends, and needed support systems.

We will examine how businesses and governments have adopted innovative measures in the fight against the coronavirus pandemic to increase employee productivity, reduce liabilities, and humanize the digital experience.

Lou Bladel,
“Security Risks Created
by the Imperative to
Work-From-Home”

We are living in a rapidly changing situation with COVID 19; an abrupt transition to working from home, and a pressing need to work outside of established protocols and standard infrastructure. There is a high level of anxiety among the general public and a constant barrage of updates from media and officials.

Cyber criminals are taking advantage of this to target family offices by impersonating financial institutions, philanthropies and government sources to breach systems via phishing and spoofing of websites, stealing identities and data and delivering ransomware.

What strategies are cyber criminals using to get your attention?

Impersonations: Fake updates and cold calls from trusted institutions, such as the CDC and charities used to gain access to your personal data and identity.

Phishing: Phishing emails used to deploy malware on your computer, at times leaving it dormant before cyber criminals activate it.

Ransomware: Malware that deploys encryption on your hard drive, making it impossible to access.

Dr. Cheemin Bo-Linn
Board of Director &
Global Risk & Recovery Trailblazer



Session 2
Opening Keynote

"Effective boards lean into the unknown, leverage data and tech, and turn disruption into opportunities that fulfill employees and deliver shareholder value."

Tom Finan
Willis Tower Watson
Cyber Growth Strategist
Former FBI Assistant General Counsel



Session 2
Closing Keynote

"Every new cyber incident seems to up the ante when it comes to the financial risks arising from data and network security risk. Covid-19 just changed the game entirely."

Cheemin Bo-Linn, “Role of Effective Boards: Digital and Performance Transformation in the New Normal”

Board of Directors have faced market disruption and global risks before, from geopolitical uncertainty, to regulatory changes, to climate change, and cyber security attacks. With COVID-19, operational resilience is key, and the board's strategic risk oversight and governance are critical during this new “normal.”

The growth plan requires focus on transformational leadership to quickly move from stabilization to value creation during recovery. Re-engaging relationships with our employees, customers, and ecosystem partners is pivotal. Prescriptions for effective boards will also require leveraging technology as we lean into the unknown of complex matrix options and enhance the CEO's decisive action.

Tom Finan,
“After COVID-19:
Cyber and the Coming
Human Capital Revolution”

As the world emerges from COVID-19, cybersecurity will never be the same. Companies that once hesitantly allowed work-from-home arrangements will soon find themselves in a world where it will become the expected norm. Cybercriminals will adjust their tactics, accordingly, shifting ever more of their focus to exploiting the weakest links in a company’s cybersecurity chain: people.

During this session, Tom Finan will discuss the vastly expanded cyber attack surface that will exist post-pandemic, the need to build more robust cyber risk cultures to engage remote workers especially, and the rise of HR leaders as key cybersecurity players. Mr. Finan will describe how companies can start building people-focused cybersecurity now to avoid major financial, legal, and reputational loss in the months and years ahead.

- **CHEEMIN BO-LINN, ED.D.** is CEO and President of Peritus Partners, a global consulting firm focused on assisting companies in cyber security resolution, information technology, and digital marketing. Formerly she was Vice-President of IBM Corporation leading a multi \$B P&L. Recruited to scale growth, she has served in multiple CMO/CRO and operational and board roles, where technology is a key backbone across the consumer, internet, industrial, financial services, energy, and healthcare sectors. Formerly elected to six private and public corporate boards, Audit Chair, and Risk leadership positions in the US, Canada, and Europe, she is recognized as one of the 2019 "Top 50 Board of Directors" in the US by the National Association of Corporate Directors.

Currently, she serves as Board of Director of a public industrial and consumer company (NASDAQ: BMCH) and SNOMED International (not-for-profit) which owns and maintains the world's most comprehensive clinical terminology standard including COVID-19 content. Recognizing her innovative digital technology leadership, she was named "Top 100 CEOs in STEM", named "Most influential Woman in Silicon Valley," and inducted into the "Hall of Fame for Women in Technology," a program supported since US Presidents George H.W. Bush through Obama. For her thought leadership turning disruption into opportunities resulting in superior financial results, she was invited to speak at various forums including the United Nations, British Chamber, UBS, and Dow Jones. Her Doctorate is in "Computer based Information Systems and Organizational Change," from the University of Houston.

- **TOM FINAN, J.D.**, is a former Assistant General Counsel at the Federal Bureau of Investigation (FBI) and current Cyber Growth Leader within Willis Towers Watson's FINEX Cyber/E&O Practice. In this role, he advances the company's integrated approach to cybersecurity across all aspects of people, capital, and technology risk.

Mr. Finan spearheaded the development of the company's Cyber Risk Solutions in North America and has actively led teams delivering the company's enterprise risk management, cyber risk culture, and cyber workforce readiness solutions. Mr. Finan also served as Senior Cybersecurity Strategist and Counsel with the Department of Homeland Security's National Protection and Programs Directorate. While at DHS, he established the agency's cybersecurity insurance initiative in support of implementation of "Improving Critical Infrastructure Cybersecurity" and launched DHS' Cybersecurity Insurance webpage. Additionally, Mr. Finan served as Staff Director and Counsel for the Subcommittee on Intelligence, Information Sharing and Terrorism Risk Assessment with the U.S. House Committee on Homeland Security.

UPCOMING JUNE 2020 FEATURED SPEAKERS

Cyber Intelligence • Financial Intelligence • Threat Intelligence • Incident Response

Scott B. MacDonald
Global Economist & Sovereign Analyst

Former OCC International Economic Adviser



Session 1
Featured Speaker

"Most people have taken food security for granted; COVID-19 is a wakeup call that we need to focus more on safeguarding the flow of food from the farm to the consumer."

Ross S. Delston
Global AML Pundit

Former FDIC Regulator



Session 1
Featured Speaker

"Trade-based money laundering (TBML) has been around since forever and yet it is the least monitored, regulated and scrutinized of the three avenues to launder money internationally."

Scott MacDonald,
“Preventing a Food Security
Crisis: The Impact of
COVID-19 on Agriculture
and Supply Chains”

“While the closure of restaurants and bars has caused a disruption in longstanding food supply and demand practices, there is a growing need in other parts of society as people lose their jobs, panic-buying takes place and prices for some goods have become scarce.

Starting at the ground level, there is a significant challenge for the workforces needed for the cultivation of food crops being able to assemble due to restrictions on labor movement (especially if it involves the cross-border movement of workers). In Europe the move to close national borders was undertaken without much thought given to the seasonal movement of workers from Eastern Europe (Romania, Bulgaria and Poland) or North Africa (Morocco and Tunisia) to Germany, Italy and France.”

MacDonald, Scott B. “Will Coronavirus Create a Food Shortage?” The National Interest, The Center for the National Interest, 15 Apr. 2020.

Ross S. Delston,
“Trade-Based Money
Laundering in the Era of the
Coronavirus Pandemic”

While Trade-Based Money Laundering (TBML) has been around since the inception of international trade, the coronavirus pandemic presents unique challenges to banks and other financial institutions in responding to this time-tested avenue to launder money internationally. Price gyrations, medical equipment fraud, and miracle cures all complicate the task facing every firm involved in international trade to detect, monitor and report criminal activity.

● **SCOTT B. MACDONALD Ph.D., M.A.** is the chief economist at Smith's Research & Gradings. Prior to that, he was the head of research at MC Asset Management LLC, a wholly owned subsidiary of Mitsubishi Corporation. He also served as Head Of Credit And Economic Research at Aladdin Capital Management in Stamford, Connecticut and as Chief Economist for KWR International. In addition, Mr. MacDonald the Director Of Sovereign Research at Donaldson, Lufkin & Jenrette, the Sovereign Risk Analyst and Director at Credit Suisse, and an international economic adviser in the Office of the Comptroller of the Currency (OCC) in Washington, D.C. He is the author or editor of 18 books and numerous articles on economic affairs, covering events in the Caribbean, Latin America, Europe, Asia, and North America. Mr. MacDonald is currently on the Board of Directors for El Centro Hispano, a nonprofit organization whose mission is to assist, support, and strengthen Hispanic families in Westchester County, New York.

● **ROSS S. DELSTON** is an independent Washington, DC-based attorney, expert witness, Certified Anti-Money Laundering Specialist (CAMS) and former U.S. banking regulator (FDIC) who has specialized in Anti-Money Laundering (AML) issues for over 19 years. Mr. Delston has participated in monitorships for two global financial institutions, AIG and BNP Paribas and has been a consultant to the International Monetary Fund (IMF) on AML and banking matters since 1997. On behalf of the IMF, he has participated in nine AML/CFT assessments of offshore financial centers (OFCs) using the FATF standards, including Jersey, Guernsey, Isle of Man, Bermuda and St. Vincent and the Grenadines. Additionally, Mr. Delston has drafted trade finance policies and procedures to address TBML risks for a major multinational bank and conducted training on the new policies and procedures. He has co-authored two law review articles on TBML: "Strengthening Our Security: A New International Standard on TBML is Needed Now" (2012) and "Reaching Beyond Banks: How to Target TBML and Terrorist Financing Outside the Financial Sector" (2009). In addition, Mr. Delston has been an expert witness in 14 civil cases involving AML and is frequently quoted on AML issues in the New York Times, Washington Post, Wall Street Journal, New Yorker, Bloomberg, American Banker, USA Today, the Nikkei (Japan) and the Hindu Business Line (India). He has lectured and conducted training on TBML at the U.S. Financial Crimes Enforcement Network (FinCEN) in Washington, DC; at the Peoples Bank of China (central bank) in Dalian on behalf of the IMF; at the Reserve Bank of India's Staff College in Chennai; for U.S. bank examiners at the 2016 FFIEC Advanced BSA/AML Specialists Conference in Washington, DC; and at conferences and seminars throughout the world.

Bruce Zagaris
World-Renowned
Anti-Corruption & AML Expert
Editor of the International Enforcement Law
Reporter (IELR)



Session 2
Featured Speaker

"Without developing effective international enforcement networks, the good guys cannot manage the innovative threats of the fraudsters."

Jeff Schermerhorn
Willis Towers Watson
Complex Cyber Risk Expert



Session 2
Featured Speaker

"A sound cyber risk management strategy begins with a deep review of the amount, type, location and source of all data your organization maintains. If an organization doesn't understand their data, they will never understand their data risk."

Bruce Zagaris,
“International Enforcement
Networks to Combat the
New Fraud Typologies”

As occurs in every disaster, the fraudsters have been quick to pounce on the opportunities. State and federal governments and INTERPOL have warned of the fraud typologies. Among the scams Mr. Zagaris will discuss are:

- reports of individuals and businesses selling fake cures for COVID-19 online and engaging in other forms of fraud
- phishing emails from entities posing as the World Health Organization or the Centers for Disease Control and Prevention
- malicious websites and apps that appear to share virus-related information to gain and lock access to your devices until payment is received
- Requests of donations for illegitimate or non-existent charitable organizations

Developing effective international enforcement networks through government-international organization cooperation and public-private partnerships is necessary to prevent the continuance of frauds and effectively prosecute the fraudsters.

Jeff Schermerhorn,
“Restarting the Economy:
Cyber Risk & Insurance in
the New Normal of Data
Collection & Tracking”

Governments worldwide are announcing plans to lift the “stay at home” orders carefully in phases with plans to pull back or close certain parts of the economy where flareups of COVID-19 occur. Without a vaccine, governments and health officials will need to track, in near real-time, individual's movement in order to detect and minimize any localized outbreak. From technology tracking to temperature checks at offices and restaurants, the new normal will include a vast collection of data. Using, storing and potential data breaches associated with that data creates a heightened risk for companies that will continue for several years. Mr. Schermerhorn will discuss risk mitigation strategies, as well as cyber insurance that will be critical to businesses large and small as we reopen the economy.

- **BRUCE ZAGARIS, J.D., Triple LL.M.** has represented 14 governments and international organizations on international financial services, transparency, and financial regulatory issues. For several governments, Mr. Zagaris has advised on and participated in negotiations with respect to income tax treaties, tax information exchange agreements, and mutual assistance in criminal matters treaties. His criminal work has included counseling and testifying as an expert in international criminal cases involving evidence gathering, extradition, prisoner transfers, money laundering and tax crimes, and counseling of witnesses for grand jury investigations. Mr. Zagaris is a partner of Berliner, Corcoran & Rowe, LLP, in Washington, D.C. Since 1985, he has edited the INTERNATIONAL ENFORCEMENT LAW REPORTER. He has been an adjunct professor of law at several law schools. Mr. Zagaris has authored and edited several books, including INTERNATIONAL WHITE-COLLAR CRIME: CASES AND MATERIALS (2d ed. Cambridge U. Press, 2015), INTERNATIONAL CRIMINAL LAW with Jordan Paust et al (4th ed. Carolina Academic Press, 2013) and hundreds of articles on international law. He also co-authored two books on global threats that were adopted by the Institute for Supply Management (ISM) to commemorate their centennial anniversary, *A Guide to Storms, Challenges, and Ethical Risks* and *Ética Empresarial: Una Perspectiva Global*. Since 1981, he has registered under the Foreign Agents Registration Act. He has also testified several times in Congress on international tax, financial services, and international evidence-gathering issues.
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- **JEFF SCHERMERHORN** has spent the entirety of his professional career litigating, drafting and negotiating complex professional liability and cyber liability insurance programs. His specialty is to work with clients to conduct deep dive reviews of the client's E&O and cyber liability exposures to develop tailored risk management solutions designed to cover the identified risk in the most robust and cost-effective manner possible. Prior to Willis Towers Watson, Mr. Schermerhorn advised Fortune 100 companies and name-brand media and technology firms on risk management strategies in the areas of network security and privacy risk, intellectual property and media coverage. In addition, Mr. Schermerhorn was an attorney at a global insurance company where he was the primary architect of the insurer's cyber, technology errors and omissions and media liability policies. Prior to joining the insurer, Mr. Schermerhorn was a corporate attorney at a national law firm in southern California, where his practice focused on advising and representing corporations in complex transactions and litigation.

UPCOMING JULY 2020 KEYNOTES

Cyber Intelligence • Financial Intelligence • Threat Intelligence • Incident Response

Horacio Gutierrez
**Spotify Head of Global Affairs & Chief
Legal Officer**

Former Microsoft GC & Corporate VP for Legal Affairs



Session 1
Opening Keynote

"When you are a successful tech company you can become so important to your users in their daily lives that your actions can impact them in very personal ways, for good or for bad. Those of us in the tech world have a responsibility to think carefully about the impact of our actions, and to act in a manner consistent with our values, so we can ultimately have a positive impact on the world."

Brian Hinman
AON Chief Commercial Officer

*Former Chief Intellectual Property Officer at Philips
& Former Vice President of IP and Licensing at IBM,
Verizon and InterDigital*



Session 1
Closing Keynote

"COVID-19, combined with a very difficult economic climate, creates greatly increased intellectual property risk for companies of all sizes and technology segments. Unless companies are able to anticipate and manage such increased risk, they are subject to incurring large litigation costs."

● **HORACIO GUTIERREZ, J.D., LL.M.** is Spotify's Head of Global Affairs and Chief Legal Officer. In this capacity, Horacio plays a key role in representing Spotify externally and leads the company's work on a wide range of areas around the world, including industry relations and partnerships, public policy and trust and safety, among others. He leads a global team of business, public affairs, government relations, licensing, and legal professionals. Horacio joined Spotify as General Counsel in 2016 after spending over 17 years at Microsoft Corporation, ultimately as General Counsel and Corporate Vice President for Legal Affairs. Horacio brings a rich multi-cultural perspective as well as extensive international professional experience, having lived and worked in the United States, Europe, and Latin America. Throughout his career, Horacio has been involved in some of the most complex and cutting-edge legal issues in the tech industry. He has been deeply involved in a number of high-profile legal and regulatory matters, and concluded numerous intellectual property deals, including licensing agreements with companies around the world. He has played a leading role around the globe on technology and innovation policy issues, including competition policy, intellectual property policy and internet regulation.

Horacio has trained and practiced law in both civil law and common law jurisdictions. He holds a Master of Laws degree from Harvard Law School, which he attended as a Fulbright Scholar; a Juris Doctor degree summa cum laude from the University of Miami; a Bachelor of Laws degree from Universidad Católica Andrés Bello in Caracas, Venezuela; and a post-graduate diploma in corporate and commercial law from the same institution.

He speaks multiple languages and is licensed to practice law in the States of New York, Florida and Washington, as well as in Venezuela

● **BRIAN HINMAN** has over 30 years' experience in the field of intellectual property, having held senior executive positions at some of the world's leading companies. He is currently is the Chief Commercial Officer for Aon Intellectual Property Solutions. Previously, Mr. Hinman also served as Chief Intellectual Property Officer at Philips, based in the Netherlands. In this capacity, he also held the position of CEO of Philips Intellectual Property and Standards where he led a worldwide team of over 400 intellectual property professionals in 9 different countries. He formulated and executed a robust IP strategy for each of Philips' business units including IP portfolio management, all IP litigation matters (offensive and defensive), active participation in various industry standards, and conducting all forms of IP monetization including patent, technology and brand licensing and formation of various IP Ventures. Prior to Philips, Mr. Hinman was co-founder and Chief Operating Officer of Unified Patents Inc., and prior to that, he held positions of Vice President of IP and Licensing at IBM, Verizon and InterDigital, and was founding CEO of Allied Security Trust. In his current capacity, Mr. Hinman is part of the senior leadership team of Aon IP Solutions, which delivers IP solutions that enhance our client's enterprise value through executing IP-based value creation strategies and mitigating IP risk exposure. He helps lead the efforts for Aon in seizing the generational opportunity to establish market-accepted standards for assessing and valuing the IP asset class for businesses and investors.

Dr. Gerold Knight
Coca-Cola HBC
Global Risk Mgt Leader
Former Australian Government Intelligence



Session 2
Opening Keynote

"Resilient businesses effectively manage uncertainty by implementing processes that enable them to understand their risks and opportunities. Supporting this they develop strong crisis leaders and teams that have the capability to effectively respond to a time of crisis."

Matt Addington
Cyber & National Security Expert
*Former DEA Special Agent & CIA Operations Officer
(Narco-Terrorism and Global Security Threats)*



Session 2
Closing Keynote

"In this age of advanced data analytics with a cultural demand for IoT solutions, where artificial intelligence and machine learning dominate the technology forums, we must remain vigilant and prioritize defending the threat from both external and internal sources."

● **GEROLD KNIGHT Ph.D., MRiskMgt** is the Group Chief Risk Officer for Coca-Cola HBC (CCHBC) AG. Dr. Knight has a private and public sector career spanning 35 years, including over 20 years in senior management roles across the Coca-Cola system. His areas of specialization are risk, crisis and security management and he leads these streams, together with insurance and business continuity, across the CCHBC group. His area of specific research interest is the development of business leaders in the areas of crisis response ensuring that they have the capabilities to effectively respond to a crisis impacting business operations. Prior to entering the Coca-Cola system, Dr. Knight held senior security management roles in the Australian Government and served with the NSW Police Force in investigation and intelligence analyst positions. He holds a Ph.D. in Business His thesis focused on crisis management teams and the utilization of response processes and routines. Dr. Knight also holds both a Master's degree in Risk Management.

● **MATT ADDINGTON** Mr. Addington is currently the Executive Vice President of Federal Business for GoSecure, Inc., a cyber security company that provides cloud-delivered managed detection and response to both government and commercial customers to protect critical data and assets. This includes threat hunting, incident response and forensic services across the endpoint, network and inbox. Prior to joining GoSecure in 2016, Mr. Addington was Vice President and Director of Applied Domains at Giant Oak, Inc., which developed a search tool to hunt the deep and dark web for bad actors and/or negative information harmful to US and commercial interests. In 2012, Mr. Addington ended a 25-year government career and joined Blackbird Technologies, Inc., as the Director of Strategy, supporting Defense, Intelligence, and Federal Law Enforcement through the development of advanced technologies until Blackbird's acquisition by Raytheon, Inc., in 2015.

Mr. Addington began his career in 1987 as a Drug Enforcement Administration (DEA) Special Agent in Miami, Florida. During the period of 1989 to 1991, after attending US military-supported Special Operations training as a civilian, Mr. Addington participated in para-military operations in the jungles of Central and South America, targeting the infrastructure of narco-terrorist organizations. In 1991, he was transferred to the Ft. Lauderdale District Office where he was involved in undercover operations and conducted large-scale domestic and international drug conspiracy investigations. Mr. Addington was assigned to the US Embassy in Bogota, Colombia from 1995 to 1997 where he conducted joint inter-agency operations targeting and subsequently dismantling the Cali Cartel.

In 1997, after completing an extensive US Government Intelligence Community-driven training program, Mr. Addington was assigned as an Operations Officer, working for the Central Intelligence Agency (CIA) in an overseas capacity. Between 2000 and 2010, Mr. Addington held management positions in DEA to include: overseeing Mobile and Regional Enforcement Teams; Resident Agent in Charge in Raleigh, North Carolina; Executive Assistant/Advisor to the DEA Chief Counsel; Assistant Regional Director in Mexico City for the North and Central America Division overseeing Diversion/Pharmaceutical investigations and narco-fugitive apprehension operations throughout Mexico; Assistant Special Agent in Charge of the New England Field Division, managing groups in Boston, Massachusetts Maine, Vermont and New Hampshire, to include the division-wide Financial Investigations and Money Laundering Group. In the latter part of his government career, Mr. Addington was appointed to the Senior Executive Service as the Director of the El Paso Intelligence Center (EPIC), located at Fort Bliss, in El Paso, Texas. Mr. Addington led over 500 personnel from 25 federal agencies, focused on transnational threat targeting and intelligence collection efforts in support of Counter-Intelligence, Counter-Terrorism, and Counter-Narcotic global missions and investigative requirements. Mr. Addington also oversaw the EPIC Command Center, which provided 24/7 support to federal, state, and local law enforcement needing immediate intelligence on high level suspects and targets prior to or during US-based operations. Mr. Addington attended Duke University, Fuqua School of Business and Executive Leadership: Johns Hopkins University, Executive Leadership Institute; and the Harvard supported Police Executive Research Forum. Mr. Addington is a member of the International Counter Terrorism Officers Association. He was a Division I, All-American soccer player and Hermann Trophy finalist.

UPCOMING JULY 2020 FEATURED SPEAKERS

Cyber Intelligence • Financial Intelligence • Threat Intelligence • Incident Response

Christopher Libertelli
Global Policy Expert

*Former YouTube, Netflix, & Skype Executive
& FCC Legal Adviser*



Session 1
Featured Speaker

"A former colleague said to me recently 'if you do policy work for a global company prepare to put your job on the line weekly, if not daily. It can be hard doing the government's job from industry.'"

Seth Schachner
**Latin America Digital Media
Business Development Expert**

Former Sony Music Head of Digital (Latin America)



Session 1
Featured Speaker

"The past few months have seen massive digital media consumption but have also amplified the reasons why cyber security is such a critical component in the digital ecosystem. Every industry vertical needs to consider this, from those that serve the enterprise to consumer-oriented businesses."

- **CHRISTOPHER LIBERTELLI, J.D.** is the former Global Head of Policy at YouTube. Previously he served as Vice President, Global Public Policy at Netflix Inc. His team was responsible for Netflix's advocacy before U.S. and foreign government officials. Mr. Libertelli joined Netflix from Skype, where he managed the company's government relations programs in the U.S., Canada and Latin America. Prior to joining Skype, he served as Senior Legal Advisor to the Chairman of the Federal Communications Commission. Mr. Libertelli is a senior executive with extensive experience in the technology, entertainment and telecommunications industries. He has both public and private sector experience across several US-domestic and international areas including Media Law and Licensing, Telecommunications Policy, Data Privacy, Privacy Law, National Security Law, Government, Entrepreneurship, and Venture Capital.
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- **SETH SCHACHNER** is Managing Director of Strat Americas, a Los Angeles-based consultancy that provides strategic guidance and helps clients with media and technology partnerships. Strat Americas has worked with clients in digital & social music, beauty subscriptions, AI and enterprise software. Recent clients include Microsoft, IPSY, and social music community Smule. Mr. Schachner is an experienced business development executive who spent eleven years with Sony Music, where he held senior digital leadership roles in Latin America and for Sony's Jive Records label in North America. At Sony Music Latin America, Seth created "first of breed," multi-million selling mobile handset partnerships for the artists Shakira and Ricky Martin. Previously, Schachner held business development roles with Microsoft, Liberty Media, Viacom, and Universal Music. Seth was one of AOL's first business development executives. A two-time graduate of Columbia University, Seth also served as Chairman of Florida's Film & Entertainment Advisory Council, which helped guide the state of Florida on state film and entertainment growth strategies. His articles have appeared in Venture Beat and The Guardian, recent television appearances include CNN, Fox Business, Cheddar TV, and CGTN America on the rise of TikTok and social music.

Dr. Rhonda Farrell, CISSP
Global Cybersecurity
Transformation Champion



Session 2
Featured Speaker

Dr. Rhonda Farrell points to her time in the U.S. Marine Corps as the start of her technology career. She focuses on IT and cybersecurity transformation for defense, civil, and intelligence community clients.

Edward McFadden
Reputational Risk Responder

Former VP for Global Public Policy Communications for a Fortune 50 company & Former Speechwriter & Special Assistant in the George W. Bush Administration



Session 2
Featured Speaker

"Businesses before the pandemic faced a host of reputational risks that affect not only their bottom line, but their workforce and consumer relationships, and other stakeholder relationships. In this new era, those reputational forces will become all the more common and challenging and will require a proactive strategy that meets the core business needs while continuing to engage in the broader stakeholder community."

● **RHONDA FARRELL** has three decades of international and domestic support in technical and management consulting to *Fortune* 500 commercial firms, state, and federal government entities in the areas of strategic and transformation-oriented change management, innovation, cybersecurity, engineering, operations, and quality management. She has significant experience providing a wide range of holistic organizational services to functional verticals as well as ‘total firm’ mandates, including Baldrige, ISO 9000, and (Lean) Six Sigma initiatives. Additionally, she has 10 years of change management related services to non-profit organizations providing organizational and leadership development services in the cyber, quality, and STEAM arenas.

She serves the global client base at Booz Allen Hamilton in the role of a Transformation Strategist. She is a strong advocate for women, minorities, veterans, and youth, both championing and implementing the ISSA International Women in Security SIG as well as founding the Cyber and STEAM Global Innovation Alliance. This nascent organization serves youth, practitioners, executives, alliance members, and partners globally. Additionally, she is the CEO of Global Innovation Strategies, serving women, minority, and veteran small businesses, community, and non-profit organizations.

● **ED MCFADDEN** is a director with Patomak Global Partners, a financial services consultancy, where he focuses on strategic communications for the firm’s clients. He also directs communications for a financial services trade association. His career has been marked with experience in every facet of communications. Prior to joining Patomak Global Partners, Ed served as the chief communications officer for a large nonprofit organization which served as the second largest provider of social services in the Washington, DC area, including directing its public policy and government relations offices.

He also served for more than a decade as the vice president for global public policy communications for a Fortune 50 company, directing the company’s communications on public policy, legal and government relations matters. From 2001 to 2005 he served as a speechwriter and special assistant in the administration of George W. Bush. Throughout his career, Ed has assessed, strategized and helped address reputational events and crises, with a focus not only on response, but proactive mitigation to ensure businesses large and small, global and local, have appropriate communications plans in place to overcome, if not avoid, the risks that come with expected and unexpected, internal and external, crises and events. Ed started his career in journalism, first as an editorial writer and assistant features editor for *The Wall Street Journal/Europe*, and then as a senior editor and Washington Bureau chief for *Reader’s Digest*.

UPCOMING AUGUST 2020 KEYNOTES

Cyber Intelligence • Financial Intelligence • Threat Intelligence • Incident Response

Carol A. Chen
Assistant U.S. Attorney
Chief of International Narcotics, Money
Laundering, & Racketeering Section
CDCA United States Attorney's Office



Session 1
Opening Keynote

"The pandemic has no doubt disrupted organized criminal behavior and the workings of the criminal justice system. Such challenges, however, have also presented opportunities to criminals and law enforcement alike to redefine the manner in which each conducts their operations, perhaps permanently, and may unexpectedly usher in modern, positive changes to the criminal justice system."

James Gordon
Intel GM Ecosystem & COVID-19
Innovation Fund
Former Intel Chief of Staff & Technical Assistant



Session 1
Closing Keynote

"COVID-19 will undoubtedly change how government and enterprise, both big and small, plan for and ultimately serve their constituents. We all need to respect the impact as well as embrace the opportunity to both prepare for and manage future disruptions of all types. That is both the challenge - and the opportunity in front of us all."

● **CAROL ALEXIS CHEN** currently serves as Chief of the International Narcotics, Money Laundering, and Racketeering Section in the United States Attorney’s Office for the Central District of California in Los Angeles, California. Ms. Chen is the rare federal prosecutor who has been an Assistant United States Attorney in both the Criminal and Civil Divisions over her fifteen years with the Department of Justice, with extensive criminal and civil trial experience. She has directed federal and local law enforcement in hundreds of federal criminal grand jury and wiretap investigations, evaluated investigations for federal prosecutions, and trained law enforcement agents as well as other prosecutors. She has won numerous awards and accolades, including from the Department of Justice and federal, state, and local law enforcement agencies, and was named by the Los Angeles County Bar Association, Criminal Justice Section as 2019 Prosecutor of the Year. Ms. Chen has prosecuted some of the most significant cases of the Department, including multiple large-scale racketeering investigations targeting the Mexican Mafia and violent street gangs, such as the one for which she obtained, after a five-week trial, convictions and a life prison sentence for a Mexican Mafia member who murdered a rival and severely wounded an innocent bystander (nomination for the second-highest Department of Justice award pending); a large-scale, transnational money laundering case which was awarded another high-level DOJ award in 2018; and multiple large-scale transnational drug trafficking cases, including a 47-defendant prosecution targeting Mexican and Colombian drug cartel members and associates, with narcotics-based murder charges arising out of the torture, murder, and dismemberment of two victims. During the pandemic, she has been handling and supervising investigations related to scams and schemes perpetrated by criminals attempting to take advantage of COVID-19. She has been an appointed member of various joint federal and local task forces and working groups, including serving as the Point of Contact for all of the California United States’ Attorneys’ Offices for the Joint Task Force – West Corridor (“JTF-W”) and as a member of the Executive Board for High Intensity Drug Trafficking Area Joint Task Force (“HIDTA”). The task forces and working groups on which she actively participates are aimed at combating drug cartels, the drug trade, and transnational money laundering syndicates; the opioid epidemic; violent crime including that perpetuated by international organized crime groups and domestic street gangs; illicit firearms possession and weapons trafficking; and transnational border security threats. Ms. Chen has strong relationships with high-level career officials in different components of Main Justice, prosecutors in other United States Attorneys’ Offices and in state and local prosecutorial offices, and various foreign law enforcement and prosecutorial partners. She collaboratively works with the leadership at all of the federal law enforcement agencies on both the regional and national levels as well as with the commanding officers at state and local police departments. Ms. Chen has front-line experience in addressing some of the most pressing crimes and criminal justice issues on multiple levels, including helping to devise and implement policies and setting investigative priorities as well as personally prosecuting the cases implicating them.

Since March, 2019, Ms. Chen has led the section which targets international and significant domestic narcotics trafficking and money laundering organizations; violent prison and street gangs; traditional and emerging transnational organized criminal enterprises, including those which utilize the dark net and cryptocurrency; and clandestine laboratories and “dirty doctors,” pharmacies, and other street-level and wholesale distributors of opioids which cause serious bodily harm and death. She is the first female chief in the history of the section which was previously named the Organized Crime Enforcement Task Force (“OCDETF”) Section and the Narcotics Section. Prior to her DOJ experience, Ms. Chen served as a judicial law clerk for two federal judges and was a litigator at one of the largest global law firms. She earned a Bachelor of Arts degree in Political Science from the University of California, Los Angeles, and a juris doctorate from Yale Law School.

● **JIM GORDON** manages Intel’s COVID-19 innovation fund. Mr. Gordon currently is GM of the Ecosystem & Business Development Intel’s Platform Security Group. He is an Intel veteran of 20+ years and has held a variety of roles over this time. Most notably, Mr. Gordon served 3.5 years as Chief of Staff and Technical Assistant to Intel’s then President Renée James. Mr. Gordon has also held leadership positions in Intel’s channel product, influencer, and software & services groups. For Intel in D&I he has served as a top leader in its internal “Inclusive Leaders” program.

Scott B. Walker
Serial Entrepreneur

Former BillMatrix President & CEO



Session 2
Opening Keynote

"A person who is unwilling to admit defeat is simply unskilled at redefining the situation."

Wilfried Grommen
Tech Industry Trailblazer

Former CTO for Hewlett Packard Enterprises (EMEA)



Session 2
Closing Keynote

"This world needs renewed trust, a renewed pact among nations and continents, based upon our insights, learnings, and aspirations."

- **SCOTT B. WALKER** is a well renowned CEO, business leader, entrepreneur and operator, who received the 2006 Ernst & Young Entrepreneur of the Year award.

Mr. Walker is largely credited for creating the electronic-biller direct industry. BillMatrix Corporation, was the leading provider of outsourced electronic payment solutions for major utilities, telcos, insurance and loan providers. The company was acquired for U.S.\$350 million in August 2005 by Fiserv, Inc., a *Fortune* 500 company. Mr. Walker plays an active role in several companies including financial transaction services, manufacturing, service and financial groups with a strong focus on Fintech. He is regarded for his perspective on the payments sector and his comprehensive understanding of technology innovations that are shaping the way consumers and businesses manage their ecommerce and overall financial resources. Mr. Walker's focus is understanding the application of new tech and creating corporate infrastructure that fast-tracks growth and prosperity.

- **WILFRIED GROMMEN** is a distinguished business leader and former CTO for a *Fortune* 500 Company.

Mr. Grommen served as a Hewlett Packard Enterprise's Chief Technology Officer for the Public Sector in EMEA (Europe, Middle East, Africa). He was a member of advisory committee of World Economic Forum's Partnering Against Corruption Initiative (PACI). He was HP's trusted advisor on key strategic public sector IT and transformation projects, primarily focusing on the European Union, the North Atlantic Treaty Organization (NATO), and the United Nations. Previously, Mr. Grommen held executive-level technology positions with Microsoft Corporation and a division of IBM, IBM Global Services.

His career has also included senior positions with Microsoft. Mr. Grommen served as Microsoft's regional technology officer for Central and Eastern Europe. In this capacity, he was the lead public advocacy spokesperson for Microsoft's technology strategies promoting technology development policies and overseeing the implementation process to assess the strategic use of technology by government and academia. Among his key accomplishments was the preservation of neutral local procurement regulations in all nations of Central and Eastern Europe and the launch of innovation projects with the Baltic government involving language technologies. He also spearheaded a public-private partnership with the Center of eGovernance Development in Ljubljana, Slovenia. Mr. Grommen has also served as auditor for several the EU- framework research programs, such as RACE, a specific program in the field of advanced communication experiments. Mr. Grommen also co-authored two books on global threats that were adopted by the Institute for Supply Management (ISM) to commemorate their centennial anniversary, "*A Guide to Storms, Challenges, and Ethical Risks*" and "*Ética Empresarial: Una Perspectiva Global.*"

UPCOMING AUGUST 2020 FEATURED SPEAKERS

Cyber Intelligence • Financial Intelligence • Threat Intelligence • Incident Response

Christopher W. Cuzzucoli
Global AML Expert
Former Citibank Global Head of AML



Session 1
Featured Speaker

"The key to a strong financial crimes compliance program is the ability to identify emerging risks and to adapt mitigation efforts to ever changing circumstances to meet regulatory expectations."

Laurence Shapiro
Willis Towers Watson
Transactional Insurance Expert



Session 1
Featured Speaker

"As the scope of this global pandemic and its effect on the domestic and global M&A market continues to evolve, transactional insurance providers have been adapting their offerings to ensure that they continue to provide meaningful resources to the deal community."

- **CHRISTOPHER W. CUZZUCOLI J.D., CCEP, CAMS** started his career on Wall Street working on securities litigation. His career has included senior positions in the legal, compliance, operational and risk management areas of AML for several *Fortune* 500 companies.

At Citibank, Mr. Cuzzucoli served in various roles, including Global Head of AML and Global Head of Account Intelligence Units for Global Transaction Services, and as AML counsel for Salomon Smith Barney. He also served as the Chief AML/OFAC Officer at LPL Financial, the AML/OFAC Officer at Charles Schwab, North American head of AML for Barclays Corporate Bank, and he previously served in the same capacity for TD Bank USA/TD Ameritrade and Dresdner Kleinwort Wasserstein /Dresdner Bank. He also worked at MUFG Union Bank, N.A., where he was the Deputy BSA Officer and Americas Head of AML. Mr. Cuzzucoli is currently the Deputy BSA Officer at Bank of Hope and a global speaker who has presented at AML conferences in Africa, Europe and the Caribbean.

- **LARRY SHAPIRO, J.D.** is a senior vice president of the Mergers & Acquisitions team at Willis Towers Watson, and he leads the transactional insurance team in the western U.S. region. In his current role, Mr. Shapiro negotiates complex insurance solutions on behalf of private equity clients and strategic acquirors to mitigate transactional risk in generated by domestic and global transactions.

Mr. Shapiro has 20 years of experience working within the legal, insurance and financial services industries. His experience prior to Willis Towers Watson has included extensive corporate and transactional legal experience as outside counsel, as well as service as general counsel of a commercial finance company. Prior to law school, Larry worked as a regulatory analyst at a leading U.S. life insurance company, and as a financial analyst at a Big 4 accounting firm. Mr. Shapiro earned his B.A. from Hobart College, and his J.D., cum laude from New York Law School.

Arnold A. Spencer
Cryptocurrency Expert

Former Award-Winning Assistant US Attorney



Session 2
Featured Speaker

"A well-run cryptocurrency company can have a more effective anti-money laundering program than the best programs at top national banks. Not because the crypto company is more diligent or committed, but because of the power of the technology behind cryptocurrencies."

Perry S. Bechky
Regulatory & Economic Sanctions Expert

Former U.S. Department of the Treasury (OFAC) Attorney



Session 2
Featured Speaker

"Every article about CFIUS used to describe it as obscure, but CFIUS's impact on inbound investment is becoming more visible all the time."

- **ARNOLD SPENCER** is the recipient of the John Marshall Award and the SEC Courage and Valor Award. The John Marshall Award is the highest award presented to a U.S. Justice Department attorney for contributions and excellence in legal performance. The SEC Courage and Valor Award is given to a state or federal prosecutor who has provided exceptional service in protection of investors and the securities markets in the United States.

From 2000 to 2010, Mr. Spencer served as an Assistant United States Attorney in the Eastern District of Texas. He specialized in securities fraud, financial fraud, and money laundering prosecutions, and indicted more than 100 federal, white-collar cases. Among numerous high profile cases, he obtained one of the longest sentences ever imposed by a federal judge for money laundering violations, prosecuted the “Nigerian Barge” case as part of the Enron Task Force, and set new precedents in extending U.S. jurisdiction to a foreign, unregistered, money services businesses operating illegally in the United States. Currently, Mr. Spencer is the founding member of Spencer & Associates, which is a law firm focused on domestic and international financial fraud, corruption, and money laundering, with a unique expertise in cryptocurrency compliance.

- **CARMEN MARSH** is the CEO and Managing Partner of Inteligenca Inc. she leads a team that consults small and mid-size business with cyber risk management, audit & compliance, and change management for large technical implementations. Ms. Marsh started Inteligenca after working with various companies, including Sony Incorporated, Stanford Research International, Meridian Systems/ Trimble, USC, and CEA.

She is the founder of “The Cybersecurity Women of the Year Awards,” “Ally of the Year Awards,” Sacramento Valley Cyber Salons and the CapitolSEC’ Election Security pitch events. Ms. Marsh graduated from University of Gothenburg, Sweden.

COMING FALL 2020

KEYNOTES & FEATURED SPEAKERS

Cyber Intelligence • Financial Intelligence • Threat Intelligence • Incident Response

Dr. Timothy Wittig
Head of Intelligence & Analysis for
United for Wildlife's Financial &
Transport Taskforces



 **BASEL INSTITUTE ON
GOVERNANCE**

Kim R. Manchester
Managing Director
ManchesterCF Consulting
Former International Banking Executive



"A successful defense against financial crime by a financial institution is comprised of both technological solutions and training the human element. You can't have one without the other."

Mary Lue Peck
National Speakers Association CEO
Former Chief Content & Engagement Officer for IBM



"Great organizations achieve extraordinary results when employees are engaged, empowered and aligned around a common vision."

Cristián Edwards
Financial Regulatory Expert
*Former Principal Financial Group-DC, COO, & Corporate
Assistant VP for Legal Affairs*



"The COVID-19 crisis has taken humanity back to a time before globalization, when necessities were scarce. Has this 'new normal' called the whole global pandemic era into question?"

Patricia Raxter
Senior Illegal Wildlife Trade
(IWT) Analyst



"By working together in cutting off access to the financial and transport channels traffickers use, we can put an end to wildlife crime and its destructive effects on the natural world."



Peter Lambert
Willis Towers Watson SVP
Former SVP with AON



"The current COVID-19 crisis has impacted nearly every country in the world. As the virus curve flattens out, global leaders must walk a thin line between opening the economy and maintaining safety precautions. Get that wrong and there may be a greater loss of life or alternatively, the global economy may suffer losses never experienced before."

Harvey I Saferstein
GC at Sportsman Cap & Bag Co
Former Regional Director of the FTC &
Past-President of the State Bar of California



"Our country has had similar challenges—other diseases, two world wars, and more. But this disease could well be our largest challenge yet, because we don't seem confident that we have the solutions."

Daniel Fisher-Owens
Berliner Corcoran & Rowe
Regulatory Translator



"Accelerated by the COVID-19 pandemic, the U.S. continues to target Chinese high-tech companies with increasingly expert control and import restrictions, seeking to reverse a long trend of internationalization in the industry."

Global Speakers **MANAGER**

Sofia Schmidt-Koeck



Email : asanford@ou.edu

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EXECUTIVE EDUCATION



Wayne Thomas
Interim Dean



Laksh Chidambaram
Associate Dean
Academic Programs & Engagement



Colonel Shad Satterthwaite
Director of Executive Education
in Aerospace & Defense



Dipankar Ghosh
Energy Institute Executive Director

MICHAEL F. PRICE COLLEGE OF BUSINESS

Interim Dean
W.K. Newton Chair of Accounting
George Lynn Cross Research Professor



Wayne Thomas

WAYNE THOMAS, Ph.D. joined the University of Oklahoma in 2000 and teaches financial accounting, intermediate accounting, international financial statement analysis, and capital markets. He co-authors *Intermediate Accounting* 10e with David Spiceland and Mark Nelson (McGraw-Hill). He also co-authors *Financial Accounting* 5e with David Spiceland and Don Herrmann (McGraw-Hill).

His research specializes in capital markets, techniques used by managers to manipulate earnings, the importance of financial disclosures, and financial statement analysis. In 2019, he received the University of Oklahoma's top research designation, being named a George Lynn Cross Research Professor.

Dean Thomas has published more than 60 articles in leading academic journals, such as *The Accounting Review*, *Journal of Accounting Research*, and *Journal of Accounting & Economics*. He also served as an editor for *The Accounting Review*. He has presented his research at more than 100 universities and conferences.

Associate Dean
Academic Programs & Engagement
W.P. Wood Professor of MIS



Laku Chidambaram

MICHAEL F. PRICE COLLEGE OF BUSINESS

LAKU CHIDAMBARAM, Ph.D. is the Director of the MIS Division of the Price College of Business. During his tenure, the OU program has been ranked four times among the Top 20 programs in the nation by *U.S. News and World Report*. He has received several awards for teaching excellence including, among others, the Harold E. Hackler Outstanding MBA Professor, the Bruce Magoon Award for Service Excellence, and the Bruce Magoon Master Teacher Award. He has consulted and lectured throughout the United States and abroad, including in Germany, the Netherlands, Norway, and Singapore.

Professor Chidambaram is the Executive Editor of the *E-Service Journal*. Professor Chidambaram has also served as an associate editor at Information Systems Research and the *Journal of AIS*, and as a senior editor at the Group Decision and Negotiation. His research focuses on the application of information technology to support distributed group work in organizations. His work has been published in several journals, including *Information Systems Research*, *MIS Quarterly*, and *Journal of MIS*. Professor Chidambaram book publications include the co-editing of *Our Virtual World*, which focuses on the transformation of work, play and life with the new media. Previously, Professor Chidambaram served as the Executive Director of the Center for MIS Studies, which is a research center that brings together Price College MIS faculty and the business community. He also served as the Program Co-Chair for AMCIS, a leading academic conference in information systems.

**Director of Executive Education in
Aerospace & Defense**



Colonel Shad Satterthwaite

MICHAEL F. PRICE COLLEGE OF BUSINESS

SHAD SATTERTHWAITE Ph.D., M.P.A., M.S.S. is the Director for Executive Business Programs in Aerospace and Defense. He and his wife Valerie have three children, two in-law children and a grandson. Before coming to the Gene Rainbolt Graduate School of Business, he served as associate dean for OU Extended Campus and assistant vice president for Continuing Education Academic Programs. He currently serves as the faculty advisor to the OU Student Veterans Association.

Dr. Satterthwaite is a colonel in the U.S. Army Reserves and served two tours in Afghanistan in support of Operation Enduring Freedom (2003-2004 and 2011-2012). He is assigned to the Army War College as a faculty instructor where he co-teaches the Defense Planners Course.

Dr. Satterthwaite has been named Foundation for Defense of Democracies Academic Fellow and the President's Distinguished Faculty Mentoring Program Outstanding Mentor. He also received the UOSA's Outstanding Faculty Award. His research focuses on political science, public administration, the media, and voting behavior.

**Energy Institute Executive Director
ConocoPhillips Chair in Energy Accounting
David Ross Boyd Professor**



Dipankar Ghosh

MICHAEL F. PRICE COLLEGE OF BUSINESS

DIPANKAR GHOSH is the Executive Director of the MBA Program and the Executive Director of the Energy Institute. He spearheaded the Energy Executive Management Program and the 15-month Executive MBA in Energy hybrid program. Previously, he served as President of the Management Section of the American Accounting Association. He currently serves on the editorial board of several prominent academic journals and has been the recipient of “Best Paper” awards.

Prior to teaching, he served as a management accountant for a multinational manufacturing firm. The former Deloitte auditor has also won several teaching awards including, among others, the Merrick Foundation Teaching Award, and the Regents’ Award for Superior Teaching. Additionally, he has been recognized as a Presidential Professor to “honor, reward, and retain top faculty members who excel in all their professional activities” and “exemplify the ideals of a scholar through their endeavors in teaching; research and creative/scholarly activity; and professional and University service and public outreach”.

Professor Dipankar Ghosh has published a number of manuscripts in *Accounting, Organizations and Society, Decision Sciences, Organizational Behavior and Human Decision Processes, Behavioral Research in Accounting, Journal of Management Accounting Research, Journal of Conflict Resolution, Journal of Business Ethics, and Management Accounting*. He has also coauthored a research monograph, *Applying Activity-based Costing in Healthcare*, published by the Foundation of Applied Research, Institute of Management Accountants and has provided keynote addresses at several international conferences. He is the Chair of the Doctoral Proposals Committee for The Institute of Management Accountant’s Research Foundation, and the committee Chair of the Glen McLaughlin Prize for Research in Accounting Ethics, which is awarded by the Steed School of Accounting.



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Colonel Shad Satterthwaite

Director of Executive Education Programs
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Colonel Shad Satterthwaite
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LARRY REGENS, Ph.D. is Professor of Strategic Communication and Adjunct Professor of Psychiatry and Behavioral Sciences at OU Health Sciences Center. He previously held the Bartlett Foundation Chair at OUHSC and the Freeport-McMcRan Chair at Tulane, served in the United States Marine Corps, in US Government policy and analytical positions, and at Oak Ridge National Laboratory. He has demonstrated expertise in modeling/simulation, risk assessment, statistical and spatial analysis techniques, and decision analysis.

Dr. Regens has extensive field experience in Western Europe, Russia, China, the Middle East, Latin America, and sub-Saharan Africa. He has chaired multiple International Atomic Energy Agency technical committees and a former chair of the Group on Energy and Environment, Organization for Economic Cooperation Development (OECD). Dr. Regens has been a consultant to and served on review panels for numerous organizations including the National Academy of Engineering, National Institutes of Health, US Special Operations Command, Defense Threat Reduction Agency, Central Intelligence Agency, Defense Intelligence Agency, and US Department of Homeland Security.

Dr. Regens has authored over 200 publications including 8 books and been PI for over \$40 million in research funding to conduct a series of large-scale, interdisciplinary projects identifying mechanisms to attenuate biological pathogen virulence; biomarkers of non-traditional chemical agent exposure; dispersion, deposition, and persistence of bioaerosols, chemical agents, and fissile/non-fissile radioactive material; intelligence-based adversarial threat characterization; bioinformatics and genomic transcription, and advanced video analytics. Dr. Regens is a member of the Council on Foreign Relations, International Institute for Strategic Studies, and Association of Former Intelligence Officers.



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